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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/750,714	12/31/2003	Ricardo E. Gonzalez	PA2683US	1388

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PALO ALTO, CA 94303

EXAMINER

GEIB, BENJAMIN P

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2181

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06/04/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

**Office Action Summary**

Application No.

10/750,714

Applicant(s)

GONZALEZ ET AL.

Examiner

Benjamin P. Geib

Art Unit

2181

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 06 March 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-6,8-14,16,18,20-26 and 31-38 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-6,8-14,16,18,20-26 and 31-38 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- ☒ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- ☐ Notice of Informal Patent Application
- ☐ Other: \_\_\_\_\_

### **DETAILED ACTION**

1. Claims 1-6, 8-14, 16, 18, 20-26, and 31-38 have been examined.
2. It is hereby acknowledged that the following papers have been received and placed of record in the file: request for continued examination received on 03/06/2007.
3. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 03/06/2007 has been entered.

### ***Withdrawn Rejections***

4. Applicant, via amendment, has overcome the 35 U.S.C. § 112, second paragraph, rejections set forth in the previous Office Action. Consequently, these rejections have been withdrawn by the examiner.

### ***Claim Objections***

4. Claims 18, 32, 35, and 37 are objected to because of the following informalities:  
Referring to claims 18 (lines 11, 14, and 17), 32 (line 3), 35 (line 2), and 37 (lines 4-5 and 7-8), "processing nodes" should be changed to "processor nodes" to make the claim language consistent.

5. Claim 14 is objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form. Claim 14 recites that "at least one of the first communication interface and the second communication interface comprises a standard input/output interface." However, claim 1, from which claim 14 depends, already recites that the first and second communication interfaces comprise a first standard input/output interface and a second standard input/output interface, respectively.

***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 1-6, 8-14, 16, 18, 20-26, and 31-38 are rejected under 35 U.S.C. 103(a) as being unpatentable over Borkar et al., "iWarp: An Integrated Solution to High-Speed Parallel Computing" (Hereinafter Borkar) in view of Barat et al., "Reconfigurable Instruction Set Processors: A Survey" (Hereinafter Barat).

8. Referring to claim 1, Borkar has taught a system for processing applications, the system comprising:

a plurality of processor nodes [*iWarp cells; Fig. 1*] with each processor node comprising:

a processing element [*computation agent; Fig. 1*] configured to execute at least one of the applications [*section 2.1*],

a first communication interface [*first input port/output port pair*] including a first array interface module [*first input port*] configured to interface to a first other member of the plurality of processor nodes, and a first standard input/output interface [*first output port*] configured to communicate with a first input/output device [*The communication agent of each iWarp cell has 4 input ports and 4 output ports configured to interface to other iWarp cells; section 2.1*],

a second communication interface [*second input port/output pair*] including a second array interface module [*first input port*] configured to interface to a second other member of the plurality of processor nodes, and a second standard input/output interface [*first output port*] configured to communicate with a second input/output device [*section 2.1*]; and

a plurality of links interconnecting the plurality of processor nodes [*buses; section 2.2*].

Borkar has not taught that the plurality of processor nodes additionally comprise a software extensible device configured to provide additional new instructions to a set of standard instructions for the processing element wherein the new instructions can be programmed by software.

Barat has taught coupling a reconfigurable processing unit (RPU) to a microprocessor to provide additional new instructions to a set of standard instructions for the microprocessor wherein the new instructions can be programmed by software [section 1, "Introduction"].

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to modify the iWarp cells of Borkar to each comprise a software extensible device (i.e. an RPU) coupled to the computation unit of each iWarp cell thereby providing additional new instructions to a set of standard instruction for the computation unit wherein the new instruction can be programmed by software as taught by Barat.

The suggestion/motivation for doing so would have been that processing is more specialized thereby accelerating execution and increasing performance [section 1, "Introduction"].

9. Referring to claim 2, Borkar and Barat have taught the system of claim 1 wherein each one of the processor nodes are on separate chips [Borkar; 1<sup>st</sup> paragraph of introduction].

10. Referring to claim 3, Borkar and Barat have taught the system of claim 1, wherein at least some of the processor nodes are on the same chip [Borkar; 1<sup>st</sup> paragraph of introduction; The examiner notes that the word "some" requires only one processor node be on a chip].

11. Referring to claim 4, Borkar and Barat have taught the system of claim 1 wherein two or more of the plurality of the processor nodes are configured in an array [*Borkar; section 3*].

12. Referring to claim 5, Borkar and Barat have taught the system of claim 1 wherein the software extensible device comprises an instruction set extension fabric [*Barat; Since the RPU extends the instruction set, it is an instruction set extension fabric*].

13. Referring to claim 6, Borkar and Barat have taught the system of claim 1 wherein the software extensible device comprises a programmable logic device [*Barat; section 3.3*].

14. Referring to claims 8 and 20, taking claim 8 as exemplary, Borkar and Barat have taught the system of claim 1 wherein at least one of the first communication interface and the second communication interface is configured to communicate using message passing [*Borkar; 1<sup>st</sup> paragraph of section 4.1.1*].

15. Referring to claims 9 and 21, taking claim 9 as exemplary, Borkar and Barat have taught the system of claim 1 wherein at least one of the first communication interface and the second communication interface is configured to communicate using channels between the processor nodes [*Borkar; 6<sup>th</sup> paragraph of section 4.1.1*].

16. Referring to claims 10 and 22, taking claim 10 as exemplary, Keller and Barat have taught the system of claim 9 wherein at least one of the first communication interface and the second communication interface is configured to perform time division multiplexing using the channels between the processor nodes [*Borkar; 6<sup>th</sup> paragraph of section 4.1.1*].

17. Referring to claims 11 and 23, taking claim 11 as exemplary, Keller and Barat have taught the system of claim 9 wherein at least one of the first communication interface and the second communication interface is configured to perform spatial division multiplexing using the channels between the processor nodes [*Borkar; 6<sup>th</sup> paragraph of section 4.1.1*].

18. Referring to claim 12, Borkar and Barat have taught the system of claim 1 wherein at least one of the first communication interface and the second communication interface comprises a processor network interface [*Borkar; the communication interfaces interface a network of processor; section 3*].

19. Referring to claim 13, Borkar and Barat have taught the system of claim 1 wherein at least one of the first communication interface and the second communication interface comprises a processor network switch [*Borkar; the communication interfaces switch communications in a network of processors; section 3*].

20. Referring to claim 14, Borkar and Barat have taught the system of claim 1 wherein at least one of the first communication interface and the second communication interface comprises a standard input/output interface [*Borkar; section 2.1*].

21. Referring to claim 16, Borkar and Barat have taught the system of claim 1 wherein at least one of the first communication interface and the second communication interface comprises a multiplexer/demultiplexer [*Borkar; since multiplex communication is performed (6<sup>th</sup> paragraph of section 4.1.1), there is inherently a multiplexer/demultiplexer*].



22. Referring to claim 18, Borkar has taught a method for a system with multiple processor nodes, the method comprising:

executing an application in at least one processing element [*computation agent; Fig. 1*] in a plurality of the processor nodes [*iWarp cells; Fig. 1*] [*section 2.1*];

communicating using a first communication interface [*first input port/output port pair*] including a first array interface module [*first input port*] configured to interface to a first other member of the plurality of processing nodes [*section 2.1*];

determining if a neighboring device is a member of the plurality of processor nodes [*Since communication depends upon the neighboring device, there is inherently a determination if the neighboring device is a member of the plurality of iWarp cells; section 2.2*];

if the neighboring device is a member of the plurality of processing nodes, communicating to the neighboring device using a second communication interface including a second array interface module [*If the neighboring device is one of the iWarp cell, then communicate using the second input/output port pair; 2<sup>nd</sup> paragraph of section 2.2*];

if the neighboring device is not a member of the plurality of processing nodes, communicating to the neighboring device using a standard input/output interface of the second communication interface [*If the neighboring device is not one of the iWarp cells, then communicate using a first port of the peripheral interface; 3<sup>rd</sup> paragraph of section 2.2*].

Borkar has not taught providing an additional new instruction to a set of standard instructions for the processing element, using at least one software extensible device in the plurality of the processor nodes, wherein the new instructions can be programmed by software.

Barat has taught providing an additional new instruction to a set of standard instructions for a microprocessor, using at least one reconfigurable processing unit (RPU) in a processor node, wherein the new instructions can be programmed by *[section 1, "Introduction"]*.

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to modify the iWarp cells of Borkar to each comprise a software extensible device (i.e. an RPU) coupled to the computation unit of each iWarp cell thereby providing an additional new instruction to a set of standard instructions for the computation unit wherein the new instructions can be programmed by software as taught by Barat.

The suggestion/motivation for doing so would have been that processing is more specialized thereby accelerating execution and increasing performance *[section 1, "Introduction"]*.

23. Referring to claim 24, Borkar and Barat have taught the method of claim 18 further comprising compiling the application *[Borkar; It is inherent that in order to execute the application it must be compiled]*.

24. Referring to claim 25, Borkar and Barat have has taught the method of claim 18 further comprising loading the application into one of the plurality of the processor

nodes *[Borkar; It is inherent that in order for an application to be executed in a processor node the application is loaded into the node]*.

25. Referring to claim 26, Borkar and Barat have taught the method of claim 18 further comprising configuring one of the processor nodes to select between an array interface module and a standard input/output interface based on a type of the neighboring device *[Borkar; communication depends on whether the neighboring device is a peripheral or iWarp cell (section 2.2). Therefore, there is inherently a selection between the iWarp cell interface and the peripheral interface]*.

26. Referring to claim 31, Borkar and Barat have taught the system of claim 1 wherein each processor node further comprises:

a third communication interface *[Borkar; third input port/output port pair]* including a third array interface module *[Borkar; third input port]* configured to interface to a third other member of the plurality of processor nodes, and a third standard input/output interface *[Borkar; third output port]* configured to communicate with a third input/output device *[Borkar; section 2.1]*, and

a fourth communication interface *[Borkar; fourth input port/output port pair]* including a fourth array interface module *[Borkar; fourth input port]* configured to interface to a fourth other member of the plurality of processor nodes, and fourth standard input/output interface *[Borkar; fourth output port]* configured to communicate with a fourth input/output device *[Borkar; section 2.1]*.

27. Referring to claim 32, Borkar and Barat have taught the system of claim 1 wherein the first communication interface is configured to communicate through the first

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array interface module if the first communication interface is coupled to the first other member of the plurality of processing nodes, and to communicate through the first standard input/output interface if the first communication interface is coupled to the first input/output device [*Borkar; section 2.1*].

28. Referring to claim 33, Borkar and Barat have taught the system of claim 1 wherein two or more of the plurality of processor nodes are configured in a one-dimensional array [*Borkar; 5<sup>th</sup> paragraph of Introduction; section 3*].

29. Referring to claim 34, Borkar and Barat have taught the system of claim 1 wherein three or more of the plurality of the processor nodes are configured in a non-rectangular configuration [*Borkar; 5<sup>th</sup> paragraph of Introduction; section 3*].

30. Referring to claim 35, Borkar and Barat have taught the system of claim 10 wherein the time division multiplexing provides a guaranteed bandwidth for a communication between the processing nodes [*Borkar; 6<sup>th</sup> paragraph of section 4.1.1*].

31. Referring to claim 36, Borkar and Barat have taught the system of claim 1 wherein the first communication interface is configured to guarantee a bandwidth for a communication between two of the plurality of processor nodes [*Borkar; 6<sup>th</sup> paragraph of section 4.1.1*].

32. Referring to claim 37, Borkar and Barat have taught the method of claim 18 further comprising:

determining if another neighboring device is a member of the plurality of the processor nodes [*Borkar; Since communication depends upon the neighboring device,*

*there is inherently a determination if the neighboring device is a member of the plurality of iWarp cells; section 2.2];*

if the another neighboring device is a member of the plurality of processing nodes, communicating to the another neighboring device using a third communication interface including a third array interface module *[Borkar; If the neighboring device is one of the iWarp cell, then communicate using the third input/output port pair; 2<sup>nd</sup> paragraph of section 2.2];* and

if the another neighboring device is not a member of the plurality of processing nodes, communicating to the neighboring device using a standard input/output interface of the third communication interface *[Borkar; If the neighboring device is not one of the iWarp cells, then communicate using a second port of the peripheral interface; 3<sup>rd</sup> paragraph of section 2.2].*

33. Referring to claim 38, Borkar and Barat have taught the method of claim 18 wherein the communicating using the first communication interface uses the first array interface module and uses time division multiplexing, the time division multiplexing providing a guaranteed bandwidth for a communication to the first other member of the plurality of processing nodes *[Borkar; 6<sup>th</sup> paragraph of section 4.1.1].*

### ***Response to Arguments***

34. Applicant's arguments with respect to claims 1-6, 8-14, 16, 18, 20-26, and 31-38 have been considered but are moot in view of the new ground(s) of rejection.

***Conclusion***

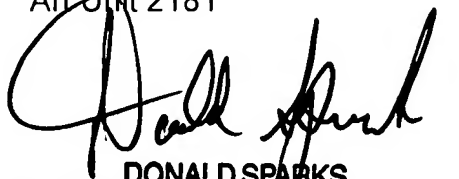
35. The following is text cited from 37 CFR 1.111(c): In amending in reply to a rejection of claims in an application or patent under reexamination, the applicant or patent owner must clearly point out the patentable novelty which he or she thinks the claims present in view of the state of the art disclosed by the references cited or the objections made. The applicant or patent owner must also show how the amendments avoid such references or objections.

36. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Benjamin P. Geib whose telephone number is (571) 272-8628. The examiner can normally be reached on Mon-Fri 8:30am-5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Donald Sparks can be reached on (571) 272-4201. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Benjamin P Geib  
Examiner  
Art Unit 2181



DONALD SPARKS  
SUPERVISORY PATENT EXAMINER